



FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Serial No. Not Yet Assigned
	Inventors: Mark Chimel et al.
	Docket No. 5677-216

U.S. PATENT DOCUMENTS

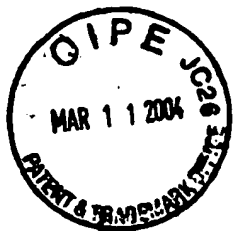
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

OTHER DOCUMENTS

EXAMINER INITIAL		AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
CP		Neil H.A.W., et al., Atherosclerosis 2001, 156, 329-337
		Penny M. Kris-Etherton et al. Am j. clin Nutr. 1994, 60, 1037S-42S
		Fabienne Nigon et al. Clin Chem Lab Med. 2001, 39(7), 634-640
		Chiaki Sanbongi et al. Cellular Immun. 1997, 177, 129-136
		HFJ Hendriks et al. European Journal of Clinical Nutrition 1999, 53, 319-327
		JA Weststrate and GW Meijer, European Journal of Clinical Nutrition 1998, 52, 334-343
		Valentin Fuster, MD, Ph.D. et al., The New England Journal of Medicine 1992, 326, 310-318
		Norman K. Hollenberg et al, American Heart Association, Inc. 1997, 29, 171-176

EXAMINER /Carolyn Paden/ (05/25/2006)	DATE CONSIDERED 05/25/2006
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Form PTO-1449 [6-4]



FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Serial No. Not Yet Assigned
	Inventors: Mark Chimel et al.
	Docket No. 5677-216

U.S. PATENT DOCUMENTS

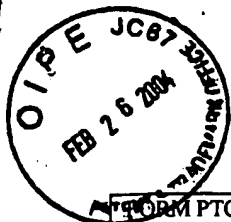
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
CP	Law, M, Education and debate 2000; Vol. 320, 861-864
	Matvienko, O et al., Am J. Clin. Nutr. 2002, 76; 57-64
	Maki Kevin C. et al., Am J. Clin Nutr. 2001, 74; 33-43
	Kondo, K. et al., Division of Clinical Nutr. National Institute of Health 1996 Vol. 348; 1514
	Ikeda, I, et al., J. Nutr. Sci. Vitamino; 1981, 127; 243-251
	Hertog, M. et al., The Lancet 1993, 342; 1007-1011
	Hollenberg, N et al., American Heart Association, Inc. 1997, 171-176
	Vuorio, A et al., Arterioscler Thromb Vasc Biol. 1999, 500-506
	Ostlund, R., Jr., Annu. Re. Nutr. 2002, 22:533-549
	Ntanios Fady, Y. et al., Atherosclerosis 1998; 138; 101-110
	Bearden Monica, M., American Chemical Society 2000; Chapter 19; 177-186
	Pearson Debra A., et al., Thrombosis Research 2002; 106; 191-197
	R. D. Brumley MD, Amer. Med. Assoc. 2002, Vol. 287; 2209-2213
	Chevaux Kati, A.M Journal of Food Composition and Analysis 2001, 14, 553-563
	Gylling Helena, Journal of Lipid Research 1995, Vol. 36; 1807-1812

EXAMINER /Carolyn Paden/ (05/25/2006)	DATE CONSIDERED 05/25/2006
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Form PTO-1449 [6-4]



Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Serial No. 10/672,232

Inventors: Mark J. Chimel et al.

Docket No. 5677-216

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CP		6,441,206	8-27-02	Mikkonen et al.	552	540	
CP		6,491,952	12-10-02	Sjoberg	424	488	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION

OTHER DOCUMENTS

EXAMINER INITIAL		AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

EXAMINER

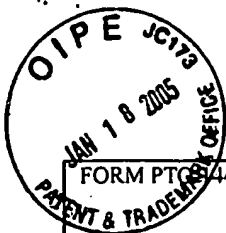
/Carolyn Paden/ (05/25/2006)

DATE CONSIDERED

05/25/2006

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 [6-4]



FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Serial No. 10/672,232

Inventors: Mark J. Chimel et al.

Docket No. 5677-216

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CP	US 2002/0064584	5-30-02	Kealey et al.	426	244	11-5-01

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
CP	WO 01/78529	25/10/01	WO	

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
CP	Lees M. Ann et al., Plant Sterols as Cholesterol-Lowering Agents: Clinical Trails in Patients with Hypercholesterolemia and Studies of Sterol Balance, North-Holland Scientific Publishers, Ltd., Vol. 28, 1977, pgs. 325-338
CP	Mattson H. Fred et al., Optimizing the Effect of Plant Sterols on cholesterol Absorption in Man, The American Journal of Clinical Nutrition, Vol. 35, 1982 pgs. 697-700
CP	Mattson, H. Fred et al., Effect of Plant Sterol Esters on the Absorption of Dietary Cholesterol, The Procter & Gamble Company, Miami Valley Laboratories, J. Nutr. Vol. 107, 1977, pgs. 1139-1146
CP	EP Search Report for EP 04 25 5889

EXAMINER

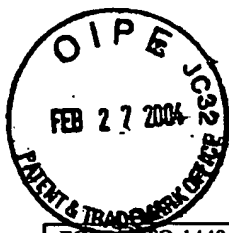
/Carolyn Paden/ (05/25/2006)

DATE CONSIDERED

05/25/2006

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 [6-4]



FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Serial No. Not Yet Assigned

Inventors: Mark Chimel et al.

Docket No. 5677-216

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
CP	Baker et al., Food and Chemical Toxicology (1999) 37: 13-22
	Karim, M. et al., Support to J. Nutri. 2000 130(8), 2105S-8S
	Mao, T.K. et al., Int. J. Immunotherapy 1999, XV(1): (15:23-29)
	Ntanios et al., Atherosclerosis (1998) 138: 101-110
	Rein, D. et al., Suppt. to J. Nutri. 2000, 130(8):2109S-14S
	Rein, D. et al., Am. J. Clin. Nutr. 2000, 72(1):30-5
	Schramm, D.D. et al., Am. J. Clin. Nutr. 2001 73(1) 36-40
	Waalkens-Berendsen et al., Food and Chemical Toxicology (2002) 40:683-696
	Wan, Y. et al., Am. J. Clin. Nutri. 2001, 74(5):596-602
	Wang, J.F., Supp. to J. Nutri. 2000, 130(8), 2115S-9S
	Weststrate et al., Food and Chemical Toxicology (1999) 37: 1063-1071
	Wolfreys et al., Food and Chemical Toxicology (2002) 40:461-470

EXAMINER

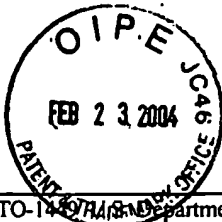
/Carolyn Paden/ (05/25/2006)

DATE CONSIDERED

05/25/2006

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 [6-4]



FORM PTO-1449 (Rev. 11/03) Department of Commerce Patent and Trademark Office	Serial No. 10/672,232
INFORMATION DISCLOSURE STATEMENT	Inventors: Mark J. Chimel et al.
(Use several sheets if necessary)	Docket No. 5677-216

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CP	2003/0148007	8-7-03	Schevers et al.	426	306	
↓	2003/0068357	4-10-03	Vala et al.	424	439	
	2002/0192318	12-19-02	Berry et al.	424	776	
	2002/0132035	9-19-02	Tamarkin et al.	426	601	
	2002/0090438	7-11-02	Mesu et al.	426	573	
↓						

FOREIGN PATENT DOCUMENTS

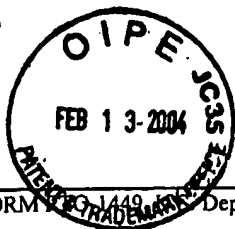
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

EXAMINER /Carolyn Paden/ (05/25/2006)	DATE CONSIDERED 05/25/2006
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Form PTO-1449 [6-4]



FORM PTO-1449 Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Serial No. 10/672,232
	Inventors: Mark J. Chimel et al.
	Docket No. 5677-216

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CP	U.S. 6,391,370	5-21-02	Rogers et al.	426	611	11-12-99
↓	U.S. 6,399,137	6-4-02	Dartey et al.	426	602	8-31-1998
↓	U.S. 6,423,363	7-23-02	Traska et al.	426	604	
↓	U.S. 6,610,320	8-26-03	Schmitz et al.	424	440	
↓	U.S. 2003/0148007	8-7-03	M.J. Schevers et al.	426	306	
↓	U.S. 2002/0090438	7-11-02	G. J. Mesu et al.	426	573	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
CP	WO 2002/082929	24-10-02	PCT	
↓	WO 2002/100412	19-12-02	PCT	
↓	WO 2002/55639	18-7-02	PCT	
↓	JP 62-126966A	6-9-87	Japan	YES (also English Abstract)

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

EXAMINER /Carolyn Paden/ (05/25/2006)	DATE CONSIDERED 05/25/2006
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Form PTO-1449 [6-4]